

10/511851  
 DTG C'd PCT/PTO 14 OCT 2004  
 BRINKS  
 HOFER  
 GILSON  
 & LIONE  
 #2

In re Application of: JÜRGEN FÖRSTER, KLEMENS PRÜGL,  
 and BERTHOLD SCHUDERER  
 Attorney Docket No: 10808/162  
 Express Mail" mailing label number: EV 327169238 US  
 Date of Deposit: October 14, 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

FÖRSTER et al.

Serial No.: Not Yet Assigned

Filing Date: Herewith

For: METHOD FOR FILING A CONTACT  
 HOLE AND INTEGRATED CIRCUIT  
 ARRANGEMENT WITH CONTACT HOLE

Examiner:  
 Not Yet Assigned

Group Art Unit:  
 Not Yet Assigned

**INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and  
 §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b),  
 Applicants hereby cite the following references:

No.	Issue or Publication Date	Applicant or Country
6,316,132 B1	11/13/2001	Karp
6,271,592 B1	08/07/2001	Kim et al.
6,242,804 B1	06/05/2001	Inoue
6,028,003	02/22/2000	Frisa et al.
5,963,827	10/05/1999	Enomoto et al.
5,893,752	04/13/1999	Zhang et al.
5,668,411	09/16/1997	Hong et al.
WO 99/53114	10/21/1999	PCT
EP 0791663 B1	01/10/2001	EPO
EP 0735586 A2	10/02/1996	EPO
0 685 876 A2	06/02/1995	EPO
0 658 926 A1	11/09/1994	EPO
0 598 422 A1	10/06/1993	EPO

For the Examiner's convenience, Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2) (as modified by the Commissioner's Notice dated

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July 11, 2003). Applicants respectfully request the Examiner's consideration of the above references and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Also enclosed is a copy of the International Search Report mailed on October 20, 2003 for the above-identified application's corresponding PCT Application No. PCT/DE03/00861.

Applicants have calculated that no fee is due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

October 14, 2004

Date:



Jasper W. Dockrey, Reg. No. 33,868

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DT01 PCT/PTC 14 OCT 2004

FORM PTO-1449	SERIAL NO. Not Yet Assigned	CASE NO. 10808/162
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE Herewith	GROUP ART UNIT Not Yet Assigned
	APPLICANTS: FÖRSTER et al.	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
A1	6,316,132 B1	11/13/2001	Karp	348/143	11/20/1998
A2	6,271,592 B1	08/07/2001	Kim et al.		
A3	6,242,804 B1	06/05/2001	Inoue		
A4	6,028,003	02/22/2000	Frisa et al.		
A5	5,963,827	10/05/1999	Enomoto et al.		
A6	5,893,752	04/13/1999	Zhang et al.		
A7	5,668,411	09/16/1997	Hong et al.		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
A8	WO 99/53114	10/21/1999	PCT		
A9	EP 0791663 B1	01/10/2001	EPO		
A10	EP 0735586 A2	10/02/1996	EPO		
A11	0 685 876 A2	06/02/1995	EPO		
A12	0 658 926 A1	11/09/1994	EPO		
A13	0 598 422 A1	10/06/1993	EPO		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.